



WEALTH
ADVISORS

Rev 3/2023

FACTS	WHAT DOES HHM WEALTH ADVISORS, LLC DO WITH YOUR PERSONAL INFORMATION?
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
WHAT?	<p>The types of personal information we collect and share depends upon the services provided to you. This information can include:</p> <ul style="list-style-type: none">▪ Social Security Number and Financial Information Provided by Client▪ Email Address and Transaction Information▪ Name – Address - Phone
HOW?	All financial companies need to share non-public personal information to run their everyday business. In the section below, we list the reasons financial companies can share their non-public personal information; the reasons HHM Wealth Advisors, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does HHM Wealth share?	Can you limit this sharing?
<i>For our everyday business purposes –</i> Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
<i>For our marketing purposes –</i> To offer our products and services to you	YES	NO
<i>For joint marketing with other financial companies</i>	NO	<i>We don't share</i>
<i>For our affiliates' everyday business purposes –</i> Information about your transactions and experiences	NO	<i>We don't share</i>
<i>For our affiliates' everyday business purposes –</i> Information about your creditworthiness	NO	<i>We don't share</i>
<i>For our affiliates to market to you</i>	NO	<i>We don't share</i>
<i>For non-affiliates to market to you</i>	NO	<i>We don't share</i>

Questions/Opt-Out	Call: 423-826-1670 <i>HHM Wealth does not share client NPI to nonaffiliated third parties</i>
Who we are	
Who is providing this notice?	HHM Wealth Advisors, LLC

What we do	
How does HHM Wealth Advisors, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does HHM Wealth collect my information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> ▪ Open an account or apply for Life Insurance ▪ Update Beneficiary Designations or Update Addresses ▪ Periodic Portfolio Management Reviews
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> ▪ Sharing for affiliates' everyday business purposes – information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.

Definitions	
Affiliates	Companies related by common ownership. They can be financial and non-financial companies. <ul style="list-style-type: none"> ▪ The CPA Group, LLC
Non-affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. <ul style="list-style-type: none"> ▪ Charles Schwab Advisor Services ▪ Other Custodians (e.g., American Funds) ▪ Highland Capital (Life, LTC Insurance Applications)
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> ▪ Not Applicable

No Longer our client: *When you are no longer our client, we will continue to hold your information and share it as described in this notice.*